13.02.99.C3.01 Procedure for Academic Misconduct Cases

Approved June 2, 2008

1. GENERAL

University students are expected to conduct themselves in accordance with the highest standards of academic honesty. Academic misconduct for which a student is subject to penalty includes all forms of cheating, which include but are not limited to illicit possession of examinations or examination materials, falsification, forgery, plagiarism or complicity in any of these behaviors. This document should be read in conjunction with the University Catalog, which explains standards for academic integrity and academic honesty.

1.1 The University Academic Standards Grievance Committee is a standing committee designed to consider grade appeals and cases involving academic misconduct.

1.1.1 Appointment of Faculty Members: The Committee on Committees of the Faculty Senate will select tenured and tenure-track faculty members from each college along with the members of the Academic Affairs Committee of the Faculty Senate to provide faculty representatives who are available to serve on the University Academic Standards Grievance Committee.

1.1.2 Appointment of Student Members: Under the direction of the Judicial Affairs Officer, Student Government will select students to serve on the University Academic Standards Grievance Committee for a one-year term. The students selected should equally represent the various colleges and include both undergraduate and graduate students. Students must be in good disciplinary standing, maintain a cumulative GPA of 3.0, complete a mandatory training session and be available to serve on Academic Integrity Hearing Panels throughout the year. The student members shall be appointed in the Spring Semester to serve one year terms beginning the following Fall Semester. Students may serve on more than one case during the year.

1.1.3 Summer Appointments: If cases arise during the summer session at a time when absences result in insufficient members to constitute an Academic Integrity Hearing Panel, interim faculty appointments may be made by the Associate Vice President for Academic Affairs and interim student appointments by the Judicial Affairs Officer.

1.2 Appointment of individual Academic Integrity Hearing Panels: From the University Academic Standards Grievance Committee, the Associate Vice President for Academic Affairs will select five faculty members and two students
to serve on an Academic Integrity Hearing Panel. For each Academic Integrity Hearing Panel, the Associate Vice President for Academic Affairs will appoint a faculty member as Chair. The Chair will not be from the college from which the appeal emanates. At least one faculty member will be from the college from which the appeal arises and no faculty or student member may be in any way involved in the case to be heard.

1.3 Time Limits: All statements about the number of days allowed for completing any step refer to university business days during which the university is in session.

1.4 Extension of Process beyond Semester: If the academic misconduct process extends beyond the semester in which the incident occurred, the grade will reported as an “I” until the case is decided.

1.5 Withdrawal from Process: The academic misconduct appeal process may end at any step if the disagreement is resolved to the satisfaction of all parties or if a party chooses to withdraw.

2. PROCEDURE FOR ACADEMIC MISCONDUCT

2.1 General

Disciplinary action for academic misconduct is first the responsibility of the faculty member assigned to the course. The faculty member is charged with assessing the gravity of any case of academic misconduct and with giving appropriate sanction to any student involved.

2.1.1 Penalties that may be applied by the faculty member to individual cases of academic misconduct include one or more of the following:

- Written reprimand;
- Requirement to re-do work in question;
- Requirement to submit additional work;
- Lowering of grade on work in question;
- Assigning grade of ‘F’ to work in question;
- Assigning grade of ‘F’ for course;
- Recommendation for more severe punishment, such as suspension or dismissal from the University.

2.1.2 If the faculty member determines that assigning a grade of ‘F’ to the course is the appropriate penalty and this disciplinary action occurs prior to the deadline for dropping courses, the student forfeits his/her right to drop the course in question. If the faculty member recommends more severe punishment, such as dismissal from the program or from the
University, the matter will automatically be appealed to an Academic Integrity Hearing Panel.

2.1.3 The faculty member must file a record (an Academic Misconduct Incident Form) for each case of academic misconduct, along with any materials involved. The Judicial Affairs Officer will maintain records of such reported incidents for a period of five years.

2.1.4 Any student who has been penalized for academic misconduct has the right to appeal the judgment or the penalty assessed, unless the student chooses not to sign the Academic Misconduct Incident Form within the time constraints indicated below. If an appeal is not processed in a timely manner by university personnel in accordance with the procedures, the student may petition to the Judicial Affairs Officer to proceed to the next step in the process.

2.2 Steps in the Academic Misconduct Process

2.2.1 Instructor Makes Charge of Academic Misconduct

The instructor will meet with the student to discuss the charge of academic misconduct and complete an Academic Misconduct Incident Form with the student. The faculty member must file an Academic Misconduct Incident Form for each case of academic misconduct along with any materials involved. The Academic Misconduct Incident Form includes a description of the nature of the infraction, the disciplinary action taken (or recommended), and whether the student accepts responsibility for the infraction in question. The Academic Misconduct Incident Form is submitted to the Judicial Affairs Officer with a copy to the appropriate department chair or area coordinator.

2.2.2 Role of the Judicial Affairs Officer

The Judicial Affairs Officer serves to coordinate and oversee the academic misconduct hearing process. These functions include:
1) receiving, maintaining and reviewing records of academic misconduct incidents;
2) requesting the formation of Academic Integrity Hearing Panels by the Associate Vice President for Academic Affairs;
3) advising students, instructors, and members of the Academic Integrity Hearing Panels of their rights, responsibilities and obligations in the process;
4) arranging the place, day and time for Academic Integrity Hearing Panel hearings.

2.2.3 Hearing by the Academic Integrity Hearing Panel
Each individual Academic Integrity Hearing Panel will be formed from members of the University Academic Standards Grievance Committee upon request of the Judicial Affairs Officer. The Associate Vice President for Academic Affairs will select the membership of each specific Academic Integrity Hearing Panel from the membership of the University Academic Standards Grievance Committee. An Academic Integrity Hearing Panel will be formed to hear cases involving academic misconduct in four instances.

2.2.3.1 Acceptance of Responsibility and Sanction: If, upon review of a student’s file, the Judicial Affairs Officer finds that a student who has accepted responsibility and sanction for an instance of academic misconduct has a previous record of such conduct, an Academic Integrity Hearing Panel will be convened to consider more severe sanctions. The Judicial Affairs Officer will notify the student of the hearing, and the student will have the opportunity to address the panel. The panel may impose the sanctions of probation, suspension, or dismissal in addition to any grade sanction that was issued by the faculty member.

2.2.3.2 Acceptance of Responsibility, Denial of Sanction: When the student accepts responsibility for an infraction, but wishes to appeal the sanction imposed, the department chair or area coordinator will review all facts and evidence in the case, consulting with the student and the instructor, and attempt a successful mediation of the dispute. The department chair or area coordinator will issue to the student, instructor, and Judicial Affairs Officer a written statement of findings and resolution within ten business days of receipt of the Academic Misconduct Incident Form. If the grievance is not further appealed, it will be considered resolved. If no agreement is reached within the above time period, the matter will be referred by the Judicial Affairs Officer to an Academic Integrity Hearing Panel to determine sanction.

2.2.3.3 Denial of Responsibility: Denial of Responsibility for an instance of academic misconduct by a student so charged will automatically be referred to an Academic Integrity Hearing Panel for review. Upon receipt of an Academic Misconduct Resolution Form indicating denial of responsibility, the Judicial Affairs Officer will request the Associate Vice President for Academic Affairs to select the members of an Academic Integrity Hearing Panel to hear the case. An Academic Integrity Hearing Panel will be formed within ten business days and a hearing scheduled.
as soon as all necessary arrangements can be made.

2.2.3.4 Failure to meet with Instructor and/or Sign Academic Misconduct Incident Form: If an instructor reports an instance of academic misconduct but for any reason is unable to meet with the student prior to submitting the Academic Misconduct Resolution Form, or the student declines to sign the form, the Judicial Affairs Officer will give the student written notice to return a signed copy of the form within ten business days. If the student fails to sign the resolution section of the Academic Misconduct Incident Form in the time allowed, it will be deemed that the student 1) accepts any sanction specified on the form, and 2) waives the right to subsequent appeal of such sanction.

2.2.4 Proceedings of the Academic Integrity Hearing Panel

2.2.4.1 Role of the Chair: The Chair will preside at the hearing, maintain orderly proceedings, and assure that all parties have a fair hearing. The Chair will keep appropriate records of meetings and actions of the Hearing Panel, will report the decision of the Hearing Panel to the appropriate parties, and report any recommendations for formal sanction to the Provost and Vice President for Academic Affairs. The Chair is normally a non-voting member of the Panel, but retains the right to vote in the event of a tie vote to ensure that the Panel may make decisions concerning recusal and recommendations by majority vote.

2.2.4.2 Hearing Protocol: The hearing shall observe the following conventions

2.2.4.2.1 Scheduling: The Hearing Panel will be formed within ten business days and convene as promptly as possible after the Academic Misconduct Incidence Form is received by the Judicial Affairs Officer. The case will be heard at a time and place that does not conflict with class schedules of the students and faculty involved. If the hearing is set with the concurrence of the parties and the student or instructor decides he/she cannot attend, the hearing may proceed as scheduled.

2.2.4.2.2 Quorum: A quorum shall be four faculty members
and one student member of the Academic Integrity Hearing Panel including the Chair.

2.2.4.2.3 Objections to Panel Membership: the student has no right to preemptory challenge or challenge for cause of any Panel member. However the Panel may, by majority vote, recess to consider a student objection in closed session. In closed session the member in question may choose to recuse himself or herself, a majority of the Panel may vote to recuse the member, or a majority of the Panel may vote to continue with the member in question impaneled. If the member is recused for any reason and the remaining members of the Panel present constitute a quorum, the hearing will continue. If after such recusal the membership present does not constitute a quorum, the hearing will be rescheduled and a substitute member of the Panel will be appointed by the Associate Vice President for Academic Affairs.

2.2.4.2.4 Burden of Proof: The burden of proof shall rest with the party bringing the charge.

2.2.4.2.5 Evidence and Testimony: The Academic Integrity Hearing Panel shall hear all parties to the case and shall review all evidence presented. The Panel shall have the right to call witnesses, including representatives from the academic discipline from which the case emanated, who are not directly involved in the case. It shall also have the right to review the course objectives and syllabus, course criteria for grading and assignments, student work submitted for evaluation, and any other relevant information.

2.2.4.2.6 Counsel: Each party to the hearing, including the student, the instructor, and the Academic Integrity Hearing Panel may be accompanied by another person throughout the procedure. In all cases, the role of that person shall be advisory only and any such advisor may not participate directly in the hearing.

2.2.4.2.7 Privacy: The proceedings, findings, and
recommendations shall not be open to the general public or available to any individuals other than those involved in the case.

2.2.5 Action by the Academic Integrity Hearing Panel

2.2.5.1 Decision: The Academic Integrity Hearing Panel will determine the facts of the incident and attempt to effect a fair and appropriate resolution to the case. Based on the standard of a preponderance of the evidence (more likely than not), the Hearing Panel will decide, by majority vote, if the student is responsible or not responsible for the misconduct in question. If the student is found responsible for the allegation(s) of academic misconduct, the sanction, as assigned by the instructor, will stand. Should the student be absolved of the allegations of academic misconduct by the Academic Integrity Hearing Panel, the faculty member will reassess the student’s grade based on the Hearing Panel’s finding. All decisions by the Hearing Panel will be reported to the student, the faculty member, the appropriate department chair or area coordinator, and the Associate Vice President for Academic Affairs.

2.2.5.2 Recommendation for Further Sanction: After taking into consideration the student’s cumulative history of academic misconduct, the Academic Integrity Hearing Panel may recommend to the Provost and Vice President for Academic Affairs the sanctions of probation, suspension, or dismissal in addition to any sanction that was issued by the faculty member. In such case the Chair of the Hearing Panel will deliver to the Office of the Provost and Vice President for Academic Affairs a letter summarizing the facts of the case, the hearing, the recommendation of the Panel and any relevant evidentiary materials. The Provost will review this information, render a decision, and inform the student, the faculty member, the dean of the college involved, and the Associate Vice President for Enrollment Management.

2.2.6 Appeal

2.2.6.1 The student or faculty member may appeal the decision of the Academic Integrity Hearing Panel. Such appeal must be confined to one or more of three specific matters including 1) the procedures followed in the process; 2) new facts unknown to the appellant at the time of the hearing; and 3) sanction imposed which is disproportionate to the misconduct at issue. Any such
appeal must be made in writing to the Office of the Provost and
Vice President for Academic Affairs within ten business days
after receiving the report of the decision by the Academic
Integrity Hearing Panel.

2.2.6.2 The written appeal should make clear the grounds for appeal and
why these grounds are sufficient to alter the decision. In the case
of an appeal based on procedural objections, the appellant must
clearly describe how the procedures of the case may have
worked to the appellant’s disadvantage. Any error committed
must be determined to have substantially impacted the fairness of
the process. The Office of the Provost and Vice President for
Academic Affairs will render a decision and copies of the
decision will be sent to the student, the faculty member, and the
appropriate chair or area coordinator involved. This decision will
be final.

Contact for Interpretation: Associate Vice President for Academic Affairs and
Judicial Affairs Officer